FORM NO. _____

Private Client Broking · Est. 2006

PCJ HOLDINGS

PRIVATE LIMITED

Udaan Aapki, Sahara Hamara

ONLINE ACCOUNT OPENING FORM

INDIVIDUAL ACCOUNT

SINGLE HOLDER · COMPLETED AND E-SIGNED ONLINE

NSE · BSE · MCX · NSDL · AMFI · APMI

APPLICANT DETAILS

Client Name _____

Client Code (UCC) _____

DP Client ID (BO ID) _____

CONSTITUTION

- ☐ Individual
- ☐ NRI
- ☐ Minor
- ☐ Sole Proprietor

For assistance call +91-11-4351 2500 · WhatsApp +91 88007 16262

askus@pcjholdings.in · www.pcjholdings.in

SEBI Registration No. INZ000068536 · NSDL DP IN-DP-279-2016 · DP ID IN303913 · AMFI ARN – 63632 · APMI APRN – 07054

CIN U65999DL2006PTC151841 · PAN AAFCA8433M · GST 07AAFCA8433M1ZD

Registered Office: 803, Nirmal Tower, Barakhamba Road, Connaught Place, New Delhi – 110 001

Prepared under the SEBI Master Circulars for Stock Brokers and for Depositories, and the circulars in force on that date

PCJ holdings private limited

Proprietary Trading Disclosure
PCJ Holdings is involved in proprietary trading on NSE, BSE and MCX.

Registered/Correspondence Office Address
803, Nirmal Tower, Barakhamba Road, Connaught Place, New Delhi-110001.
www.pcjholdings.in

SEBI Registration Numbers
NSE/BSE/MCX: INZ000068536
NSDL: IN-DP-279-2016
AMFI: ARN-63632
APMI: APRN-07054
BSE StAR MF: 62597 · NSE Invest: 13096

CLEARING MEMBER DETAILS
MCX/NSE/BSE(F&O): Globe Capital Market Ltd
SEBI Single Reg No. INZ000177137
CM Code: NSE-M50302
CM Code: MCX-8090

Compliance Officer
Mr. Phool Chand Jain
pcjain2662@yahoo.com
+91-11-43512500

CEO
Mr. Phool Chand Jain
pcjain2662@yahoo.com
+91-11-43512500

DP DETAILS
DP ID: IN303913
DP NAME: PCJ Holdings Pvt. Ltd.

PCJ HOLDINGS PRIVATE LIMITED

ONLINE ACCOUNT OPENING FORM SINGLE HOLDER

CLIENT CODE: **NSDL ID:**

CLIENT NAME:

INDEX OF DOCUMENTS

SECTION - A - MANDATORY DOCUMENTS

Account Opening Form {Pg 03-04} Part I - KYC form - Document captures the basic information about the constituent. Part II - Nomination Form for appointing a nominee to the client's account, or the declaration for opting out of nomination {Pg 05-07}. Part III - Document captures the additional information about the constituent relevant to Trading/ DEMAT account.

Most Important Terms & Conditions (MITC) {Pg 08} · **Payment Instructions and Validated UPI ID {Pg 09}**

Tariff Sheet {Pg 11-13} Document detailing the rate/amount of brokerage and other charges levied on the client for trading and demat account on the stock exchange(s)/ depository.

Internet & Wireless Internet & wireless technology-based trading facility provided by stock-broker to client. {Pg 16}

Declaration Declaration by the client, and Facility of Voluntary blocking/ freezing of the online access of trading account by client {Pg 22-23}

Acknowledgement Copy of Acknowledgement {Pg 27}

Rights & Obligations • Rights & Obligations of Stock-Broker • Rights & Obligations of Beneficial Owner & Depository Participant as prescribed by SEBI & Stock Exchanges. **{PCJ Client Copy}**

Risk Disclosure Document Know the Risks of the Equity & Derivatives Market **{PCJ Client Copy}**

Guidance Note Guidance Note - Do's & Don'ts for trading on the Exchange(s) for Investors. **{PCJ Client Copy}**

Policies & Procedures Policies & Procedures of PCJ Holdings Pvt. Ltd. for dealing with clients, including the policy on clients' unpaid securities (CUSPA). **{PCJ Client Copy}**

SECTION - B - VOLUNTARY DOCUMENTS

Authorization Running Account Authorisation; Authorisation For Receipt Of Contract Notes And Other Documents In Digital Mode {Pg 14-15}

Declaration / Consent Categorisation of the client for each commodity and Open interest declaration {Pg 17-19}

Self Declaration and Authorisation BSDA, DIS {Pg 20-21}

Demat Debit and Pledge Instruction Voluntary and Limited purpose DDPI in favour of Broker for operational requirements as per client authorisation/instruction. {Pg 24-25}

Mutual Fund Distribution Disclosure AMFI ARN-63632 / EUIN - where BSE StAR MF / NSE Invest is opted {Pg 26}

I wish to open an account online. The Demat Debit and Pledge Instruction is voluntary and is opted separately.

PLEASE READ THIS DOCUMENT CAREFULLY BEFORE AGREEING TO ITS CONTENTS AND ENSURE ALL THE DETAILS PROVIDED ARE CORRECT

CHECKLIST OF DOCUMENTS

- ☐ **Application (Copy)**
- ☐ **Bank Proof (verified / cheque)**
- ☐ **PAN (verified)**
- ☐ **Address Proof (masked e-Aadhaar / OVD)**
- ☐ **Income Proof (If opted for derivatives)**
- ☐ **Nomination / Opt-out declaration**
- ☐ **Aadhaar: first 8 digits masked**

Boxes are ticked by the system only for documents actually collected - never pre-ticked.

For any grievance/dispute please contact PCJ Holdings Private Limited at the above address or email id: grievances@pcjholdings.in and Phone No. 011-43512503, 011-43512504. In case not satisfied with the response, please contact NSE Ltd at ignse@nse.co.in / 1800 266 0050, BSE Ltd at is@bseindia.com/022-22728097, NSDL at relations@nsdl.com / 022-48867000, MCX at grievance@mcxindia.com/022-67318888, and thereafter SEBI SCORES 2.0 at https://scores.sebi.gov.in and the SMART ODR portal at https://smartodr.in

KNOW YOUR CLIENT (KYC)

Please Fill In ENGLISH And In BLOCK LETTERS

Application No.

IDENTIFICATION DETAILS

Name Of Applicant					Live photograph of applicant (date-time, IP and geo-location stamped)
Father/Husband Name					
Mother Name					
Date of Birth		Gender		Marital Status	
Nationality		PAN		Aadhaar (last 4)	
Income Proof				IP Address	
Trading Experience		Photo Captured On			
Status		Type of Account			
Annual Income		Proof Of Identity			
Location				Occupation	
Politically Exposed Person (PEP)	☐ Yes ☐ No			Related to a Politically Exposed Person	☐ Yes ☐ No

CONTACT DETAILS OF SOLE / FIRST APPLICANT

Correspondence / Residence Address				Permanent Address			
Village / Town / City				Village / Town / City			
State		Pin		State		Pin	
Proof of address provided				Proof of address provided			
Mobile		Mobile Relation		Landline		Fax	
Email				Email Relation			

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I hereby consent to receiving information from Central KYC Registry through SMS or Email on the above registered number/ email address.

I am also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details.

I hereby consent to sharing my masked Aadhaar card with readable QR code or my Aadhaar XML/DigiLocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

I consent, through OTP-based validation, to the search and download of my KYC record from the Central KYC Records Registry; my Aadhaar number is stored and shared only in masked form.

Signature of Applicant

Place_____ Date_____

PCJ Holdings Pvt. Ltd. FOR OFFICE USE ONLY

Client Interviewed By		Employee code		Designation	

Name

Signature

ACCOUNT OPENING FORM

Please Fill In ENGLISH And In BLOCK LETTERS

Application No.

SEGMENTS AND DEPOSITORY OPTED

e-sign against each segment opted · a blank cell = segment not opened

I Wish To Trade In / Open Accounts For Following

NSE/BSE CASH	e-sign of client	**NSE/BSE CD**	e-sign of client	Live photo capture (date & time)
BSE STAR MF / NSE INVEST	e-sign of client	**COMMODITY MCX**	e-sign of client	
NSE/BSE FO	e-sign of client	**DEPOSITORY-NSDL**	e-sign of client	

BANK DETAILS

name as per bank · online verification result · date & time

Bank A/C No.		Account Type		IFSC Code	
Bank Name				MICR Code	
Address				Pin	
Bank proof where online verification fails (mandatory)	Cancelled cheque / bank statement to be collected and attached				

PAST REGULATORY ACTIONS (Last 3 years)

Details of any action/Proceedings initiated/pending/ taken by SEBI/ Stock Exchange(s)/ any other authority against the applicant/ constituent or its partners/promoters/Whole Time Directors/Authorized Persons in charge of dealing in securities during the last 3 years:

OTHER TRADING DETAILS

Name of Broker / Authorized Person	
Client Code	

OTHER DEPOSITORY DETAILS

DP ID	
BO A/C Number	

FATCA DECLARATION

Are you a tax resident of any other country other than India? ☐ **Yes** ☐ **No**

Place of birth		Country of birth		Nationality	

If yes, please include all countries in which you are resident for tax purposes and the associated Tax ID Numbers below

Country of Tax Residency	Tax Payer Identification Number	Identification Type

To include all countries other than India, where investor is Citizen/Resident/Green Card Holder/Tax Resident in those respective countries especially of USA

I undertake to inform PCJ Holdings Pvt. Ltd. within 30 days of any change in circumstances that affects my tax residency or any detail certified above and to submit a fresh self-certification. This self-certification is uploaded by PCJ Holdings Pvt. Ltd. to the KRA.

Office use - AEOI account: New / Pre-existing		Self-certification valid (Yes / No) / reminder on	

Name

Signature

ACCOUNT OPENING FORM

Please Fill In ENGLISH And In BLOCK LETTERS

Application No.

GST REGISTRATION DETAILS

Legal Name	
Trade Name	
GSTIN (GST registration number)	
Date of Registration	
Any Other Information	

PCJ Holdings Pvt. Ltd.

I undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I have also made the client aware of 'Rights and Obligations' document(s), RDD and Guidance Note - do's and don'ts. I have given/sent him a copy of all the KYC documents. I undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I also undertake that any change in the 'Rights and Obligations' and RDD would be made available on our website, if any, for the information of the clients.

Authorised Signatory, PCJ Holdings Pvt. Ltd. (name & signature) _____ **Date** _____

NOMINATION FORM

Annexure-A · SEBI/HO/OIAE/OIAE_IAD-3/P/CIR/2026/12676 dated 29 May 2026

PCJ Holdings Private Limited
803, Nirmal Tower, Barakhamba Road, Connaught Place,
New Delhi-110001. www.pcjholdings.in

FORM FOR NOMINATION
(To be filled in by individual applying singly or jointly; you can nominate upto three persons)

Date (DD-MM-YYYY)		UCC/DP ID		Client ID	

I/We wish to make a nomination. [As per details given below]	☐
I/We do not wish to make a nomination. [Opting-out declaration on Pg 07 to be completed]	☐

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

NOMINEE 1

* mandatory · Date of Birth mandatory only if the nominee is a minor

Name *		PAN / Aadhaar (last 4) / DL / Passport	
Email id		Mobile	
Address			

Relation with Account Holder *		Share %		Date Of Birth	

Guardian Details (only where the nominee is a minor)

Name		PAN / Aadhaar (last 4)	
Email id		Mobile	
Address			
Relation with Nominee		Date Of Birth	

Name

Signature

NOMINATION

Application No.

NOMINEE 2

* mandatory · Date of Birth mandatory only if the nominee is a minor

Name *		PAN / Aadhaar (last 4) / DL / Passport	
Email id		Mobile	
Address			

Relation with Account Holder *		Share %		Date Of Birth	

Guardian Details (only where the nominee is a minor)

Name		PAN / Aadhaar (last 4)	
Email id		Mobile	
Address			
Relation with Nominee		Date Of Birth	

NOMINEE 3

* mandatory · Date of Birth mandatory only if the nominee is a minor

Name *		PAN / Aadhaar (last 4) / DL / Passport	
Email id		Mobile	
Address			

Relation with Account Holder *		Share %		Date Of Birth	

Guardian Details (only where the nominee is a minor)

Name		PAN / Aadhaar (last 4)	
Email id		Mobile	
Address			
Relation with Nominee		Date Of Birth	

How the nomination is to be shown in the statement of holding (tick one)

☐ Name of nominee(s) ☐ Nomination: Yes / No

If the % share is not specified, the assets shall be divided equally amongst all the nominees; any odd lot after division shall be transferred to the first nominee mentioned in the form. This nomination supersedes any prior nomination; it may be changed or cancelled at any time without charge and an acknowledgement is issued for each instance.

	Name(s) of holder(s)	Signature(s) of holder*
Sole / First Holder (Mr./Ms.)		
Second Holder (Mr./Ms.)		
Third Holder (Mr./Ms.)		

Name

Signature

NOMINATION

Application No.

DECLARATION FOR OPTING OUT OF NOMINATION

to be completed only where the client chooses not to nominate

Date (DD-MM-YYYY)		UCC/DP ID		Client ID	

A. Demat account and mutual fund folios

Annexure-B · SEBI circular dated 29 May 2026

I hereby confirm that I do not wish to appoint any nominee(s) to my demat account / mutual fund folio at this point of time. **I understand that -**

(i) the nomination helps to quickly identify the person for transfer of securities and helps in faster and smoother transmission of my securities to my legal heir(s) after my demise.

(ii) in the absence of a nomination, my legal heir(s) may require the submission of certain additional legal or court-issued documents which may delay the process of transmission of securities to my legal heir(s).

(iii) if no claim is made on the account / folio for a prolonged period after my demise, the holdings may be treated as unclaimed assets and transferred to the Investor Education and Protection Fund Authority (IEPF) in accordance with the applicable regulatory framework.

I confirm that I have understood the above implications and that my decision to opt out of nomination is voluntary.

B. Trading account

SEBI Master Circular for Stock Brokers, Annexure-13

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

	Name(s) of holder(s)	Signature(s) of holder*
Sole / First Holder (Mr./Ms.)		
Second Holder (Mr./Ms.)		
Third Holder (Mr./Ms.)		

Where no nomination is made, reminders are sent by e-mail and SMS twice a year, and a message on the benefits of nomination is displayed at the first login of the day, until a nomination is made. A nomination made at any time supersedes this declaration.

Name

Signature

ACCOUNT OPENING FORM

Please Fill In ENGLISH And In BLOCK LETTERS

Application No.

Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

1. Your trading account has a "Unique Client Code" (UCC), different from your Demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.

2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your Demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.

3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.

4. All securities purchased by you will be transferred to your Demat account within one working day of the pay out. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favour of the stock broker. You can view your Demat account balances directly at the website of the Depositories after creating a login.

5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).

6. You will get a contract note from the stock broker within 24 hours of the trade.

7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your Demat account, including transferring securities, which are sold in your account for pay-in.

8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, net worth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.

9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.

10. Any assured/guaranteed/ fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

Note on clause 4 - unpaid securities

Clause 4 above is the prescribed standard text and is reproduced without alteration. Under SEBI's circular dated 03 July 2026 and the Exchanges' operational guidelines, payment for unpaid securities is due within **five trading days from pay-out**, with automatic release by the Depositories at the end of the sixth trading day; where clause 4 refers to a longer period, the five-trading-day limit governs. Our policy on the handling of clients' unpaid securities is available at www.pcjholdings.in and is provided with this form.

Name

Signature

Application No.

PAYMENT INSTRUCTIONS AND VALIDATED UPI ID

SEBI circular dated 11 June 2025 · effective 01 October 2025

How to pay funds to PCJ Holdings Pvt. Ltd. safely, and how to verify that you are paying the right account.

THREE RULES THAT PROTECT YOU

We are not permitted to accept cash from you in any circumstance. All payments must come from a bank account held in your own name and registered with us. Please never transfer funds to any account other than the designated accounts listed below, and never to the personal account of any employee, dealer or authorised person.

SEBI-VALIDATED UPI ID

Validated UPI ID	**pcjholdings.brk@validhdfc**

The suffix ".brk" identifies us as a SEBI-registered stock broker. When you pay to this handle, your UPI app shows a thumbs-up inside a green triangle. If that icon does not appear, stop — the handle is not a validated intermediary handle. Limit: ₹5 lakh per day for capital market use.

DESIGNATED CLIENT BANK ACCOUNTS

Bank	Account number	IFSC	Segments
HDFC Bank Limited	**00030340063386**	**HDFC0000003**	**NSE, BSE and MCX**

The current list of designated client bank accounts and our validated UPI ID is published at www.pcjholdings.in/regulatory-information and is updated whenever it changes. Please check that list before making a transfer, and verify the handle through SEBI Check before your first payment.

SEBI CHECK — VERIFY BEFORE YOU PAY

SEBI Check lets you verify the UPI handle of any SEBI-registered intermediary before you transfer funds. You can scan the QR code displayed by the intermediary or enter the UPI ID manually, and SEBI Check will confirm the registered bank account number and IFSC code associated with it. Use of the structured UPI mechanism is optional for you, but it is mandatory for us to obtain and make this validated handle available.

PAY-OUT OF YOUR FUNDS AND SECURITIES

- Funds are settled to your registered bank account within one working day of pay-out, unless you have specifically authorised us to maintain a running account. Where you have authorised a running account, funds are settled on the monthly or quarterly cycle you have chosen, on the dates stipulated in the annual calendar jointly issued by the Exchanges at the beginning of each financial year. Settlement is made only by actual payment into your bank account and never by a journal entry.
- Where you hold a credit balance and have not transacted for thirty calendar days, the entire credit balance is returned on the upcoming monthly settlement date, irrespective of the cycle you have chosen.
- You will receive an SMS and an e-mail on every settlement, stating the transaction number and date, and a retention statement within five working days.
- Securities are paid out directly to your demat account by the Clearing Corporation within one working day of pay-out.
- Please raise any dispute regarding a settlement within thirty working days of receiving the statement.

Name

Signature

Application No.

STANDING INSTRUCTIONS

Actual settlement of funds shall be done at least once in

☐ QUARTERLY ☐ MONTHLY

I/We authorise you to receive credits automatically into my/our account

☐ Yes ☐ No

Demat Debit and Pledge Instruction (DDPI) - voluntary

☐ I opt for DDPI ☐ I do not opt for DDPI

Share my e-mail ID with the Issuer / Registrar & Transfer Agent (RTA)

☐ Yes ☐ No

Credit dividend / interest directly to my bank account through ECS

☐ Yes ☐ No

Electronic transaction-cum-holding statement to my e-mail ID

☐ Yes ☐ No

Auto pledge confirmation

☐ Yes ☐ No

Every choice above is made by the client; nothing on this page is pre-selected.

SMS Alert Facility: [Mandatory if you are giving Demat Debit and Pledge Instruction (DDPI). Ensure that the mobile number is provided in the KYC application form]

Sole/First Holder ☐ Yes ☐ No Second Holder ☐ Yes ☐ No Third Holder ☐ Yes ☐ No

ADDITIONAL DETAILS	
Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please specify)	
Specify your Email id, if applicable:	
Whether you wish to avail of the facility of internet trading/ wireless technology (please specify):	☐ Browser Based ☐ EXE Based ☐ Wireless Trading
Number of years of Investment/Trading Experience:	
In case of non-individual, name designation, PAN, UID, signature, residential address and photograph of persons authorized to deal in securities on behalf of company/firm/others (attach annexure if require):	
Any other information:	

INTRODUCER DETAILS (optional)	
Name of the Introducer:	
Status of the Introducer	☐ Remisier ☐ Authorized Person ☐ Existing Client ☐ Others (please specify)
referral/broker code:	
Phone No. of Introducer:	
Signature of the Introducer:	

Name Signature

Application No.

TARIFF SHEET

BROKERAGE STRUCTURE

Brokerage Slab	Per lot	%	Min. Paisa
Delivery Based			
Daily Square up			
Equity Future			
Equity Option			
Currency Future			
Currency Option			
MCX Future			
MCX Option			

*** : Please don't mention any (%) or (.) mark here. It should be in terms of absolute paisa only**
 # : Please don't mention any (%) or (.) mark here. It should be in terms of Rs. per Lot only
Note : Transaction Charges, Clearing Charges, SEBI fee, GST, STT, Stamp Duty and other statutory levies will be charged as per prevailing rate in addition to Brokerage.

CHARGES SCHEDULE

M/s. PCJ Holdings Private Limited (CIN NO.: U65999DL2006PTC151841) **NSDL: IN-DP-279-2016**

Registered Office Address: 803, Nirmal Tower, Barakhamba Road, Connaught Place, New Delhi-110001 Phone: +91-11-43512500 to 04
Fax: +91-11-47072626 | E-mail: pcjain@pcjholdings.in • Website: www.pcjholdings.in

ACCOUNT MAINTENANCE* (CHARGED FROM 2ND YEAR ONWARDS)	Rs. 250/- per annum (Individual) · Rs. 1,500/- per annum (Corporate), plus GST
ACCOUNT MAINTENANCE - BASIC SERVICES DEMAT ACCOUNT (BSDA)	Nil for holding value up to Rs. 4,00,000 · Rs. 100/- plus GST above Rs. 4,00,000 and up to Rs. 10,00,000 · regular AMC above Rs. 10,00,000
TRANSACTION CHARGES :	Debit (outgoing): Rs. 15/- per ISIN per instruction plus GST · Credit (incoming): Nil · CUSPA transfer (unpaid securities): Rs. 25/- per ISIN per instruction plus GST
DELIVERY INSTRUCTION BOOK CHARGES	Rs. 25/- per booklet (first booklet free)
DEMATERIALISATION	Rs. 30/- per request plus Rs. 3/- per certificate (maximum Rs. 250/- per request); courier charges extra
REMATERIALISATION	Rs. 30/- for every 100 securities or part thereof, or Rs. 30/- per certificate, whichever is higher
CREATION AND CLOSURE OF PLEDGE (CHARGEABLE FROM THE PLEDGOR)	Rs. 50/- per instruction plus GST
INVOCATION OF PLEDGE (CHARGEABLE FROM THE PLEDGOR)	Rs. 50/- per instruction plus GST

NOTE: It is emphasized that other than AMC as specified above, BSDA shall be treated at par with non-BSDA for the purpose of levying charges for various other services and DPs shall not levy higher charges to BSDA.

Name

Signature

Application No.

Terms & Conditions:

- Postage shall be charged @ Rs. 50/- per demat rejection/request, if any, dispatched to the client, for removal of objection.
- Rejection charges for failure of Delivery Instruction Rs. 15/- per instruction.
- Any service not listed above will be charged extra.
- Charges/Service standards are subject to revision at Depository Participant's sole discretion and shall be informed by circulars sent by ordinary post 30 days prior to its implementation.
- In case of non payment of bills/any dues within 15 days of the due date, interest shall be charged @ 0.0438% per day (15.99% per annum) on the outstanding dues and the Depository services may be discontinued by us after the expiry of 30 days from the date of a notice served if such default continues. Restoration charges for such accounts will be Rs. 100/-
- Non Periodic Statement shall be charged @ Rs. 5/- per page and postage/courier charges @ Rs. 50/- per mail.
- In case of foreign correspondence address, in addition to annual account maintenance charges, statement/communication charge @ Rs. 100/- per mail shall be charged extra.
- Modification in Client Master Rs. 50/- per Instance.
- Stamp Paper cost Rs. 100/- will be charged extra.

Name of Stock Broker / Trading Member: PCJ HOLDINGS PRIVATE LIMITED (CIN NO.: U65999DL2006PTC151841)

Exchange Name	Segment	SEBI Registration Number	Member ID	Date
NSE	Cash	INZ000068536	13096	29-08-2016
NSE	F&O	INZ000068536	13096	29-08-2016
NSE	Currency	INZ000068536	13096	29-08-2016
NSE	Commodity	INZ000068536	13096	
BSE	Cash	INZ000068536	6356	29-08-2016
BSE	F&O	INZ000068536	6356	29-08-2016
BSE	Currency	INZ000068536	6356	29-08-2016
BSE	Commodity	INZ000068536	6356	
MCX	Commodity	INZ000068536	57170	23-03-2023
NSDL	Depository Participant	IN-DP-279-2016	IN303913	20-07-2016
BSE	StAR MF (Mutual Fund)	ARN-63632	62597	22-01-2025
NSE	NSE Invest (Mutual Fund)	ARN-63632	13096	
AMFI	Mutual Fund Distributor	ARN-63632		
APMI	PMS Distributor	APRN-07054		

Name

Signature

Application No.

Procedure for Filing of complaints on SCORES 2.0 - Easy & quick

a. Raise your grievance with us first: grievances@pcjholdings.in / 011-43512503, 011-43512504; if unresolved, approach the Stock Exchange / Depository.

b. Register on the SCORES 2.0 portal (https://scores.sebi.gov.in). Mandatory details for filing complaints on SCORES: (1) Name, PAN, Address, Mobile Number, Email. An Action Taken Report is to be submitted within 21 calendar days, with two levels of review thereafter.

c. Benefits: (1) Effective communication (2) Speedy redressal of the grievance. If still unresolved, the SMART ODR portal (https://smartodr.in) offers online conciliation and arbitration.

COMMODITIES SEGMENT

I the undersigned have taken cognizance of circulars issued by SEBI / Commodity Exchanges from time to time on the guidelines for calculation of net open positions permitted in any commodity and I hereby undertake to comply with the same. I hereby declare and undertake that I will not exceed the position limits prescribed from time to time by Commodity Exchanges or SEBI and such position limits will be calculated in accordance with the circulars on position limits as modified from time to time. I undertake to inform you and keep you informed if I / any of our partners / directors/karta/trustee or any of the partnership firms/companies/HUFs/Trusts in which I or any of above such person is a partner / director / karta / trustee, takes or holds any position in any commodity forward contract / commodity derivative on Exchanges through you or through any other member(s) of Exchanges, to enable you to restrict our position limit as prescribed by the above referred circular of Exchanges as modified from time to time. I confirm that you have agreed to enter order in commodity forward contracts / commodity derivatives for me / us as your clients on Commodity Exchanges only on the basis of our above assurances and undertaking. I further undertake to bear any liability/penalty/charges levied by Commodity Exchanges / SEBI.

AUTHORIZATION FOR ACCOUNT MODIFICATION

If I were to add/modify/remove any of my details including brokerage plans with PCJ Holdings Pvt. Ltd., I authorize you to carry it out based on my request sent through an email to askus@pcjholdings.in from my registered email address or intimation through an interface provided by you, whereto I have been allowed secured access. If you feel the need to do so, then at your own discretion, you may put in place appropriate mechanism to confirm the request before or after its execution by way of a call from recorded line, or otherwise, personal meeting, SMS or other such modes as you may deem fit.

Name

Signature

Application No.

AUTHORIZATION LETTER

Running Account Authorisation to PCJ Holdings Pvt. Ltd.

I am a registered client with you, and dealing in Equity Market / Equity & Currency Derivative Markets / Commodity Derivatives Market.

I am aware that pay out/dividend received/credited from the exchange(s) against settlement of transactions as per settlement cycle is available within the prescribed time of the pay out from the Exchange. It is difficult for me to make available the required funds on every pay in of funds obligation/margin obligation. Hence, I request you to maintain my account on a running basis and retain the pay out received/credit balance in my account for my future obligation/margin obligation or other liabilities unless I instruct otherwise. I hereby authorize you to debit/credit/transfer the amounts between the various segments either on the same exchange and/or exchanges to meet my obligation or various dues payable to you/Exchange(s).

If, payment of funds is required, I shall request you in writing/email or through the web option for funds withdrawal on the web login. Further, it may be noted that, if required I may revoke this authorization at any time after giving request in writing. This running account authorization would continue until it is revoked by me. The actual settlement of funds shall be done at least once in

☐ Quarterly ☐ Monthly
(to be ticked by the client - no option is pre-selected)

I hereby authorize you to debit charges for depository services to my trading account.

While settling the account, if I have an outstanding obligation/s on the settlement date, you may retain the requisite funds towards such obligations and may also retain funds towards my margin liability only to the extent permitted by SEBI and the Exchanges on that date (at present, the margin liability across all segments and additional margin of up to 125% of that margin liability). The account is settled on the dates in the annual calendar issued by the Exchanges, only by actual payment into my bank account, and you will send me a retention statement explaining every amount retained. I shall bring any dispute arising from the statement of account or settlement so made to your notice within 30 working days from the date of the statement. In case of non-receipt of any such communication, the statement/ settlement of running account shall be considered as final as agreed and accepted by me.

This authorisation covers my funds only. It does not authorise you to retain my securities, and my credit balance will never be used for, or adjusted against, the account of any other client, family member or group entity. Where I hold a credit balance and have not transacted for thirty calendar days, the entire credit balance is returned on the upcoming monthly settlement date, irrespective of the cycle I have chosen.

I understand and agree that no interest will be payable to me on the amounts so retained with you. I further authorise you to set off/ adjust any of my debits/dues in any segment of any Exchange with credits of any other segment(s) of any Exchange(s) in my account within the period of settlement of my account as opted by me.

AUTHORISATION FOR RECEIPT OF CONTRACT NOTES AND OTHER DOCUMENTS IN DIGITAL MODE

I hereby consent to receive from PCJ Holdings Pvt. Ltd. the digital contract notes, bills, ledgers, transaction statements, Demat statement of accounts/holding statement (s)/bills/other statements, notices, circulars, amendments and such other correspondence documents, records by whatever name called in electronic form duly authenticated by means of a digital signature as specified in the Information Technology Act 2000 and the rules made thereunder to my below mentioned email id

(said email id). E-mail Id: _____

I further hereby agree that the PCJ Holdings Pvt. Ltd. shall fulfil the legal obligation, if the above documents are sent electronically to the said e-mail id and I am/are aware that I will not receive the statements / documents in paper form. In this regard, I further confirm and agree that:

Name

Signature

Application No.

The above is my/my family E-mail id. The same has been created by me and not by someone else. I shall take all necessary steps to ensure confidentiality and the secrecy of the login and password of the above mentioned e-mail account. PCJ Holdings Pvt. Ltd. shall not be liable to or responsible for any breach of secrecy. I am/are aware that the statements or documents may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised. I will be solely responsible for the security of the information provided on my/our aforesaid e-mail id.

E-mails sent to any of the above mentioned e-mail accounts, which have not bounced back, shall be deemed to be duly delivered to me/us.

I am aware that non-receipt of bounced email notification by the member shall amount to delivery of the Contract Note and other documents to the above mentioned email ID (s).

PCJ Holdings Pvt. Ltd. shall not take cognizance of out-of-office/ out of station auto replies and I shall be deemed to have received such electronic mails.

Such Statements shall be deemed to have been delivered on the day when the e-mail is sent by PCJ Holdings Pvt. Ltd.

PCJ Holdings Pvt. Ltd. shall not be liable or responsible for any Statement received from frauds or impostors or any consequences thereof.

PCJ Holdings Pvt. Ltd. shall not be liable for any problem, which arises at my computer network because of my receiving any Statement from PCJ Holdings Pvt. Ltd.

I shall inform PCJ Holdings Pvt. Ltd. by way of a letter in writing duly signed by me or inform through internet platform if there is any change in the Email given above.

For receiving Statement of Account in electronic form:

I must ensure the confidentiality of the password of the email account.
I must promptly inform the Participant if the email address has changed.
I may opt to terminate this facility by giving 10 days prior notice.
Similarly, participant may also terminate this facility by giving 10 days prior notice

I undertake to check the Statement and bring the discrepancies to your notice within the time period as specified under the relevant agreements entered into with PCJ Holdings Pvt. Ltd. My non-verification or not accessing the Statement on regular basis shall not be a reason for disputing the same at any time. I shall immediately inform PCJ Holdings Pvt. Ltd. about change in email address, if any. Where an e-mail is not delivered or bounces back from my e-mail ID, you will send me the physical contract note within the time stipulated by SEBI / the Exchanges and keep the proof of its delivery.

I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
I am aware that this declaration form should be in English or in any other Indian language known to me.
I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same.

Name

Signature

Application No.

INTERNET & WIRELESS TECHNOLOGY BASED TRADING FACILITY PROVIDED BY STOCK BROKERS TO CLIENT

(All the clauses mentioned in the 'Rights and Obligations' document(s) shall be applicable, additionally, the clauses mentioned herein shall also be applicable)

Stock broker is eligible for providing Internet Based Trading (IBT) and securities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with data card, etc. which use Internet Protocol (IP). The stock broker shall comply with all requirements applicable to internet based trading/securities trading using wireless technology as may be specified by SEBI & the Exchanges from time to time.

The client is desirous of investing/trading in securities and for this purpose, the client is desirous of using either the internet based trading facility or the facility for securities trading through use of wireless technology. The Stock broker shall provide the Stock broker's IBT Service to the Client, and the Client shall avail of the Stock broker's IBT Service, on and subject to SEBI /Exchanges Provisions and the terms and conditions specified on the Stock Broker's IBT Web Site provided that they are in line with the norms prescribed by Exchanges/SEBI. The features, risks, responsibilities, obligations and liabilities associated with securities trading through wireless technology/Internet/smart order routing or any other technology should be brought to the notice of the client by the stock broker.

The stock broker shall make the client aware that the Stock Broker's IBT system itself generates the initial password and its password policy as stipulated in line with norms prescribed by Exchanges/SEBI.

The Client shall be responsible for keeping the Username and password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whosoever through the Stock broker's IBT System using the Client's Username and/or Password whether or not such person was authorized to do so. Also the client is aware that authentication technologies and strict security measures are required for the Internet trading/securities trading through wireless technology through order routed system and undertakes to ensure that the password of the client and/or his authorized representative are not revealed to any third party including employees and dealers of the stock broker.

The Client shall immediately notify the Stock broker in writing if he/she forgets his password, discovers security flaw in Stock Broker's IBT System, discovers/suspects discrepancies/ unauthorized access through his username/password/account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use, etc.

The Client is fully aware of and understands the risk associated with availing of a service for routing orders over the internet/ securities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's Username or password in any manner whatsoever.

The stock broker shall send the order/trade confirmation through email to the client at his request. The client is aware that the order/trade confirmation is also provided on the web portal. In case client is trading using wireless technology, the stock broker shall send the order/trade confirmation on the device of the client.

The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. which are susceptible to interruptions and dislocations. The Stock broker and the Exchange do not make any representation or warranty that the Stock broker's IBT Service will be available to the Client at all times without any interruption.

The Client shall not have any claim against the Exchange or the Stock broker on account of any suspension, interruption, non-availability or malfunctioning of the Stock broker's IBT system or Service or the Exchange's service or systems or non-execution of his orders due to any link/system failure at the Client/Stock broker's/Exchange end for any reason beyond the control of the stock broker/Exchanges.

Name

Signature

Application No.

SELF DECLARATION-CATEGORIZATION OF THE CLIENTS FOR EACH COMMODITY

M/s. PCJ Holdings Private Limited (CIN NO.: U65999DL2006PTC151841) **Dated: _____**

Registered Office Address: 803, Nirmal Tower, Barakhamba Road, Connaught Place, New Delhi-110001 Phone: +91-11-43512500 to 04 Fax: +91-11-47072626 | E-mail: pcjain@pcjholdings.in. Website: www.pcjholdings.in

CATEGORIZATION OF THE CLIENTS FOR EACH COMMODITY

I/We have been/shall be dealing through you as my/our member broker on different Commodity Exchanges in my/our trading account opened /to be opened with you. I/We hereby declare my/ our category for the commodities mentioned therein, as given below in accordance with SEBI circular no. **SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08 dated January 04, 2019:**

		CATEGORIES					
Sr. N.	**Name of commodities**	**Farmer/ FPO**	**Value Chain Participant (VCP)**	**Domestic financial institutional investor**	**Foreign participant**	**Proprietary trader**	**Others**
1	Aluminium						
2	Barley						
3	Black pepper						
4	Brass						
5	Cardamom						
6	Castor Seed						
7	Chana						
8	Copper						
9	Coriander						
10	Cotton Seed Oilcake						
11	Crude Oil						
12	Crude Palm Oil						
13	Diamond						
14	Guar Gum						
15	Guar Seed 10 MT						
16	Gold						
17	Isabgol						
18	Jeera						
19	Kapas/Cotton						
20	Lead						
21	Maize						
22	Mentha Oil						
23	Moong						

Name

Signature

Application No.

Sr. N.	Name of commodities	Farmer/ FPO	Value Chain Participant (VCP)	Domestic financial institutional investor	Foreign participant	Proprietary trader	Others
24	Mustard Seed						
25	Natural Gas						
26	Nickel						
27	Paddy (Basmati)						
28	Pb1121						
29	Pepper						
30	RBD Palmolein						
31	Refined Soy Oil						
32	Rubber						
33	Silver						
34	Soy Bean						
35	Steel Long						
36	Sugar M						
37	Turmeric						
38	Wheat						
39	Zinc						

I/We further declare that in case declaration is not provided for any of the particular exchange traded commodity, please consider the "Others" category for the same. I/We undertake that I/we shall inform the member broker in case there is any change in category for any of the exchange traded commodity.

CATEGORIES

Farmers/FPOs: It includes participants such as farmers, farmers' cooperatives, Farmers Producers Organisations (FPOs) and such entities of like nature.
Value chain participants (VCPs): It includes participants such as Processors, Commercial users as Dal and Flour Millers, Importers, Exporters, Physical Market Traders, Stockists, Cash & Carry participants, Produces, SMEs/MSMEs & Wholesalers etc., but exclude farmers/FPOs.
Proprietary traders: It includes the members of stock exchanges trading in their proprietary account.
Domestic financial institutional investors: It includes participants such as Mutual Funds (MFs), Portfolio Managers, Alternative Investment Funds (AIFs), Banks, Insurance Companies and Pension Funds etc., which are allowed to trade in commodity derivatives.
Foreign participants: It includes participants such as Eligible Foreign Entities (EFEs), NRIs etc. which are allowed to trade in commodity derivatives markets.
Others: All other participants which cannot be classified in the above categories.

Name

Signature

Application No.

OPEN INTEREST DECLARATION

Sub: My/Our request for trading in Commodity forward Contracts/Commodity Derivatives on MCX as your Client.

UCC: ----------

Dear Sir,

I/We, the undersigned, have taken cognizance of Circular MCX/300/2006 and MCX/338/2006 dated August 1, 2006 & August 21, 2006 issued by the Multi Commodity Exchange of India Ltd. (MCX) on the guidelines for calculation of net open positions permitted in any commodity and I/we hereby undertake to comply with the same.

I/We hereby declare and undertake that we will not exceed the position limits prescribed from time to time by MCX or SEBI and such position limits will be calculated in accordance with the contents of above stated circular of MCX as modified from time to time.

I/We undertake to inform you and keep you informed if I/any of our partners/directors/karta/trustee or any of the partnership firms/companies/HUFs/Trusts in which I or any of above such person is a partner/director/karta/trustee, takes or holds any position in any commodity forward contract/commodity derivative on MCX through you or through any other member(s) of MCX, to enable you to restrict our position limit as prescribed by the above referred circular of MCX as modified from time to time.

I/We confirm that you have agreed to enter orders in commodity forward contracts/commodity derivatives for me/us as your clients on MCX only on the basis of our above assurances and undertaking.

Client Name ----------

Client Code ----------

Signature of Client

Name

Signature

Application No.

Annexure-1

Declaration for Basic Services Demat Account (BSDA)

To,

PCJ Holdings Pvt. Ltd. 803, Nirmal Tower, Barakhamba Road, Connaught Place, New Delhi-110001. www.pcjholdings.in

With reference to my / our application for opening a depository account, I/ We request you to open my / our depository account as per the following details:

Name of the Account Holder : _____

PAN Number of the Account Holder: _____

I/We have read and understood the Securities and Exchange Board of India's guidelines for facility for a BSDA.

I/We are aware that if I/we are eligible to open a depository account as a BSDA, the account shall be opened as a BSDA.

I/We also understand that in case, I/We at any point of time do not meet the eligibility criteria as a BSDA holder, my / our demat account is liable to be converted to regular account.

I/We also state that if I/we at any time choose to opt out of BSDA i.e. avail the facility of regular account the same will be communicated to the Participant from Sole / First Holder registered

email ID _____

Signature of the Applicant.

Name

Signature

Application No.

Undertaking for Issuance of DIS Booklet

Option for issuance of DIS booklet along with account opening (to be filled by persons seeking to open a depository account who have given Demat Debit and Pledge Instruction (DDPI) to operate the depository account to a stock broker/Participant/Portfolio Manager and do not intend to open a Basic Services Demat Account)

NSDL DP ID	I	N	3	0	3	9	1	3

To
PCJ HOLDINGS PRIVATE LIMITED
803, Nirmal Tower, Barakhamba Road, Connaught Place, New Delhi - 110 001.

Name(s) of account holder(s)	Sole/ First holder	
	Second holder	
	Third holder	

Option for Issue of DIS booklet (please tick any one)

☐ Option 1

I/We wish to receive the Delivery Instruction Slip (DIS) booklet with account opening

☐ Option 2

I/We do not wish to receive the DIS booklet with account opening. However, the DIS booklet should be issued to me/ us immediately on my/ our request at any later date.

BeneficialOwner	Name	Signature with date
Sole/First Holder		
Second Holder		
Third Holder		

Name

Signature

Application No.

DECLARATION

I wish to open an account Online.
I confirm having been explained and having received a copy of the following documents and further confirm having read and understood the contents of these documents:
Rights and Obligations of Stock Brokers and Clients as prescribed by SEBI and Exchanges. Policies and Procedures applicable to clients. Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories. Risk disclosure Document for Equity, Currency, Stock and Commodity Derivatives Segment. Guidance Note. Investor Charter. - Do's and Don'ts for Trading on the Exchange(s) for Investors - Internet and wireless Technology based Trading facility provided by Stock Broker to clients. Additional policies and procedure as provided by the broker. Additional Risk Disclosure - Options. Devolvement policy for commodity option. Policy on handling of clients' unpaid securities (CUSPA).
I do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.
I further understand that any change in the said documents including the "Policies and Procedures" shall be made available on the website of PCJ Holdings Pvt. Ltd. and also intimated to me through circulars in internet trading platform/e-mails. I shall keep myself updated of the same. All the above documents are also available on our website www.pcjholdings.in. Terms and Conditions are available on the following link www.pcjholdings.in/termsandconditions
I understand that the voluntary documents executed by me are out of my own free will. I hereby confirm that I have clearly understood and agree to abide by the Terms and Conditions described by PCJ Holdings Pvt. Ltd. I also understand that these Terms and Conditions can be changed by PCJ Holdings Pvt. Ltd. from time to time with prior notice of 15 days and subject to posting of the amendments and modifications therein on its website and their applicability with prospective effect.
I further give my consent to download my KYC details from the KRA system to complete my KYC with PCJ Holdings Pvt. Ltd. I understand that my account is activated for trading only after my KYC is validated by the KRA.
I agree that the broker shall not be held responsible for any breakdown of the system either due to the fault of the systems of the broker/the exchanges or otherwise.
I agree that I will not be compensated by the broker for any loss opportunity viz. notional profits on buy/sell orders which could not be executed due to any reason whatsoever, including but not limited due to time lag in the execution of the order or the speed at which the system of the broker/ the exchanges is operating, any shutting down by the broker of my system for any reason or the broker disabling me from trading on its system for any reason whatsoever
The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me and I have understood the same and I agree to abide by and to be bound by the rules as are in force from time to time for such account. I hereby declare that the details furnished are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/are aware that I may be held liable for it. In case non-resident account, I also declare that I have complied and will continue to comply with FEMA regulations. I acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant".
I hereby confirm that the Email ID and Mobile number provided by me for this account should be used for purposes of all communications.
To register for SPEED-e please visit www.nsdl.co.in. SPEED-e allows a BO to view his ISIN balances, transactions and the value of the portfolio online.
The stock broker / stock broker and depository participant shall not directly/ indirectly compel the clients to execute Demat Debit and Pledge Instruction (DDPI) or deny services to the client if the client refuses to execute DDPI.
I have been informed of the policy of PCJ Holdings Pvt. Ltd. on handling of clients' unpaid securities, available at www.pcjholdings.in: securities bought but not fully paid for are pledged to the client unpaid securities pledgee account (CUSPA), and payment is due within five trading days from pay-out.
I shall transfer funds only to the designated client bank accounts / validated UPI handle of PCJ Holdings Pvt. Ltd. set out on page 09 and published at www.pcjholdings.in/regulatory-information, and never in cash or through any third-party account.
I confirm that details provided by me/us are true and correct.

Facility of voluntary blocking/freezing of the online access of trading account by client-: SEBI circular dated 12 January 2024

In order to enhance the online security of client account, we provide the facility to clients to freeze the online access of their trading account, in case any suspicious activity is observed in his trading account.

The freezing shall be applicable to all modes of online access to the client account which includes, internet based trading/ mobile app/any other online access.

In order to receive request from client for freezing of online access to client account, we provide below two methods to receive request from the client-:

Name

Signature

Application No.

a) Email by client from its registered email id to the following designated email id along with his name and UCC code, with a request to freeze online access of his account: **stoptrade@pcjholdings.in**

b) Call from the registered mobile number to **+91-11-4351 2500** (trading hours and one hour after), or send a WhatsApp message from the registered mobile number to **+91 88007 16262** (24 × 7), with the name and UCC code.

Steps for online freezing of client account as per request of client-:

Steps for client-:

1. Client shall send the request for online freezing of client account by sending email from its registered email id to stoptrade@pcjholdings.in, or by calling +91-11-4351 2500 or sending a WhatsApp message to +91 88007 16262 from its registered mobile number, along with his name and UCC code, in case any suspicious trading is observed in his account.

2. The above freeze shall result into only blocking of online access of client account and other facility like, call and trade etc. shall be available with client to trade in his account.

3. Client may unfreeze online access of his account after following due process as mentioned hereinafter.

Steps for PCJ Holdings -:

1. On receipt of request from client, PCJ Holdings shall validate that request has been received from client.

2. Cancel all pending orders in the client account whether the same has been placed online or offline and freeze online access of client account which includes, internet based trading/mobile app/any other online access.

3. Send an acknowledgement as well as confirmation to the client's registered email id and mobile number that online access to his trading account has been frozen and his all pending orders, if any, have been cancelled along with the process of unblocking the online access.

The timelines for freezing/ blocking of the online access of the clients' trading account shall be as under: -

Scenario	Timelines for issuing acknowledgement as well as freezing / blocking of the online access of the trading account
Request received during the trading hour and within 15 minutes before the start of trading	Within 15 minutes
Request received after the trading hours and 15 minutes before the end of trading	Before the start of next trading session

The trading hour shall be as per exchange guidelines and shall be subject to change in accordance with exchange guidelines.

4. PCJ Holdings shall also inform the client about all open positions, if any along with contract expiry information in his account within one hour from the blocking of client account.

5. Freezing/blocking is only for the online access to the client's trading account, and there shall be no restrictions on the Risk Management activities of the Trading Member.

6. Process of re-enablement for online access to the client account-:

a. Client may request to unfreeze the online access of his account by way of any of below two modes-:

i. Client may request by sending email from its registered email id to stoptrade@pcjholdings.in, along with his name and UCC code or,

ii. Client may call +91-11-4351 2500 from his registered mobile number to unfreeze the online access of his trading account.

7. If the unblocking request is received through client's registered email or mobile number, PCJ Holdings shall unblock the online access of the client account after verifying the identity of the client.

8. After unblocking the online access, PCJ Holdings shall inform the client through email and SMS that online access of his account has been unblocked.

Name

Signature

Application No.

Demat Debit and Pledge Instruction ("DDPI")

I/We agree to the terms and purpose of this DDPI document between Mr./Ms.

Mr./Ms _____ (First Holder)

Mr./Ms _____ (Second holder)

Mr./Ms _____ (Third holder)

an individual/body of individuals/a sole proprietary concern / a partnership firm / a body corporate/trust, registered / incorporated, under the provisions of the Indian Partnership Act, 1932/The Companies Act 2013, or any relevant Act or unregistered in nature; and PCJ Holdings Pvt. Ltd. a Company within the meaning of Companies Act, 2013 with its registered office at 803, Nirmal Tower, Barakhamba Road, Connaught Place, New Delhi-110001, India

Whereas:

I/We have established a business relationship with PCJ Holdings Pvt. Ltd. to avail services w.r.t. trading, investing & other services offered by PCJ Holdings Pvt. Ltd., having a DP ID IN303913 Client ID _____

S.No	Purpose	Signature of Client		
		First Holder	Second Holder	Third Holder
1	I/We agree and permit PCJ Holdings Pvt. Ltd. to transfer any securities held in my beneficial owner account towards any Exchange (any SEBI Recognised Exchanges where PCJ Holdings Pvt. Ltd. is a member) related deliveries / settlement obligations arising out of trades executed by me/us on the Exchanges through PCJ Holdings Pvt. Ltd.			
2	I/We agree and confirm to allow pledging / re-pledging of securities in favour of PCJ Holdings Pvt. Ltd. and the clearing member (CM) with whom PCJ Holdings Pvt. Ltd. is a member of, for the purpose of meeting my/our margin requirements in connection with the trades executed by me/us on the exchanges.			
3	I/We agree and confirm to allow PCJ Holdings Pvt. Ltd. to tender shares in open offers through Stock Exchange platforms.			
4	I/We agree and confirm to allow PCJ Holdings Pvt. Ltd. to execute Mutual Fund transactions on Stock Exchange order entry platforms.			

Name

Signature

Application No.

Annexure B

Particulars	DP ID	Client ID
NSDL NSE POOL A/C.	IN303913	10004747
NSDL BSE POOL A/C.	IN303913	10004755
NSDL MCX POOL A/C.	IN303913	10008718
Margin Pledge/Re-Pledge	IN303913	10008429

Dated on this_____day of_____

First Holder	Second Holder	Third Holder

Name

Signature

Application No.

MUTUAL FUND DISTRIBUTION DISCLOSURE

Applicable where mutual funds are opted — through BSE StAR MF (member ID 62597) or NSE Invest (NSE member ID 13096).

PCJ Holdings Private Limited is registered with AMFI as a Mutual Fund Distributor under **ARN-63632**. Mutual fund schemes are distributed under the Regular Plan. PCJ Holdings Pvt. Ltd. does not charge the investor any fee for distribution and receives commission from the Asset Management Companies. The commissions (in the form of trail commission or any other mode) payable to us for the different competing schemes of various mutual funds, from amongst which a scheme is recommended to the investor, are disclosed to the investor.

EUIN of the employee / relationship manager interacting with the investor: _____

Declaration where the EUIN is left blank (execution-only transaction):

"I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an 'execution-only' transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Name

Signature

Application No.

ACKNOWLEDGEMENT

PCJ Holdings Private Limited

I/We hereby acknowledge the receipt of duly executed copy of Account Opening Form, Rights and Obligations, Guidance Note, Policies & Procedures and also the receipt of copy of the document, Rights and Obligations of the Beneficial Owner and Depository Participant, Schedule of Service Charges and All Other Documents as executed by me/us.

This acknowledgement is recorded only after the executed copy has actually been delivered to me/us. A copy of the executed documents is provided within seven days of the upload of the Unique Client Code to the Exchange.

Trading Code _____ DP Client ID_____

Mode of Receiving Standard KYC Documents & Other Documents

(tick any one) ☐ Physical ☐ Electronic

Name & Signature of Client

	Sole/First Holder / Authorised Signatory	Second Holder/Authorised Signatory	Third Holder/Authorised Signatory
Signature			
Name			

* Please stamp & Sign in case of Non-Individual Account * In case of Joint holding, all joint holders must sign.

Date: _____

For PCJ Holdings Private Limited

Name of Authorised Signatory	Designation	Signature & stamp with date

Name

Signature

PCJ HOLDINGS

PRIVATE LIMITED

Udaan Aapki, Sahara Hamara

THANK YOU FOR CHOOSING PCJ HOLDINGS

For any help with your account, write to askus@pcjholdings.in

WHAT HAPPENS NEXT

We verify your documents and complete in-person verification.

Your UCC and demat Client ID are allotted and sent to you.

Login credentials reach your registered e-mail and mobile.

You receive a copy of this form, signed by us.

KEEP US UPDATED

Tell us in writing immediately of any change of address, bank account,

e-mail or mobile number, and within 30 days of a change in tax residency.

Review your nomination whenever your circumstances change.

Never share your password, UPI PIN or any OTP with anyone.

IF SOMETHING GOES WRONG

Write to askus@pcjholdings.in — we reply within 24 hours.

Not resolved? Escalate to grievances@pcjholdings.in.

Still not resolved? SEBI SCORES 2.0 at scores.sebi.gov.in

or the SMART ODR portal at smartodr.in.

YOUR ACCOUNT ON RECORD

completed by us and returned to you with your copy of this form

Client Name

DP Client ID (BO ID)

Client Code (UCC)

Date of activation

Relationship Manager / Authorised Person

PCJ CLIENT COPY — THE DOCUMENTS YOU KEEP

- Rights and Obligations — Stock Broker and Client
- Rights and Obligations — Beneficial Owner and DP
- Risk Disclosure Document
- Guidance Note — Do's and Don'ts
- Policies and Procedures
- Most Important Terms and Conditions
- Investor Charter — Depositories
- Investor Charter — Stock Brokers
- Tariff Sheet and Schedule of Depository Charges
- Your e-signed copy of this account opening form

REACH US

+91-11-4351 2500 to 2504 · WhatsApp +91 88007 16262

askus@pcjholdings.in · www.pcjholdings.in

803, Nirmal Tower, Barakhamba Road, Connaught Place, New Delhi – 110 001

Monday to Friday, 9:00 AM – 5:30 PM (excluding trading/bank holidays)

NSE · BSE · MCX · NSDL · AMFI ARN – 63632 · APMI APRN – 07054

SEBI Registration No. INZ000068536 · NSDL DP IN-DP-279-2016 · DP ID IN303913 · AMFI ARN – 63632 · APMI APRN – 07054

CIN U65999DL2006PTC151841 · PAN AAFCA8433M · GST 07AAFCA8433M1ZD · TAN DELA18602D

Investments in the securities market are subject to market risk. Read all the related documents carefully before investing.

This form is issued by PCJ HOLDINGS PRIVATE LIMITED and supersedes every earlier version.