Annexure-4 · non-judicial stamp paper · SEBI circular HO/38/13/11(14)2026-MIRSD-POD/I/17111/2026 dated 23 July 2026

Bond of Indemnity

For Transmission of Securities / Mutual Fund Units upon demise of Sole Holder / All Holders who died without registering a Nominee. To be furnished jointly or severally, as may be applicable, by all Legal Heir(s), including the Claimant(s).

To: PCJ Holdings Pvt. Ltd. · 803, Nirmal Tower, Barakhamba Road, Connaught Place, New Delhi – 110 001 · 011-4351 2500 · askus@pcjholdings.in
SEBI Regn. INZ000068536 · NSE 13096 · BSE 6356 · MCX 57170 · NSDL DP IN-DP-279-2016 · DP ID IN303913 · AMFI ARN-63632 · APMI APRN-07054

Note: To be executed in the presence of a Notary Public / Judicial Magistrate First Class (JMFC) / Gazetted Officer. To be submitted on Non-Judicial Stamp Paper of appropriate value, as applicable in the relevant State where the claimant resides.

Asset type — Securities (Shares / Debentures / Bonds / Mutual Fund units etc.) held in:
☐ Physical mode / Statement of Account (SOA) ☐ Demat mode

PART A – DETAILS OF HOLDING

I/We do hereby solemnly affirm and state on oath as follows: That Mr./Ms. ("Name of the deceased security/unit holder") named below was the sole holder of the following securities / mutual fund units:

Name of the deceased security / unit holder

S. No.	Name of Company / Mutual Fund Scheme	Client ID / DP ID / Folio No. / Certificate No.	Distinctive No. from – to (if applicable)	No. of Securities / Units / shares held
1				
2				
3				
4				

PART B – BASIS OF SUCCESSION AND DETAILS OF LEGAL HEIR(S)

That the aforesaid deceased holder died on (date)

without registering any nominee, leaving behind him/her the following persons as the only surviving legal heirs, according to the laws of (please tick one of the checkboxes, as applicable):

☐ Intestate succession by which the deceased was governed at the time of his/her death.

☐ Testamentary succession – i.e., under a Will of the deceased.

S. No.	Name of Legal Heir(s) / Claimant(s)*	Address and contact details	Age	Relationship with the deceased
1				
2				
3				
4				

**If any of the Legal Heir(s) other than claimant has expired, verifiable death certificate should be submitted for such deceased Legal Heir(s) also.*

PART C – REQUEST AND INDEMNITY

Therefore, I/We, the Legal Heir(s)/Claimant(s) and deponent(s) herein, have approached PCJ Holdings Pvt. Ltd. (Depository Participant) with a request to transfer/transmit the aforesaid securities/units in the name of the undersigned legal heir(s)/claimant(s) named below (#), on my/our behalf, without insisting on production of a Succession Certificate / Probate of Will / Letter of Administration or any Court Order, for which we execute this indemnity as herein contained, relying on the information given by us and believing the same to be true.

(#) Name(s) of the legal heir(s) / claimant(s)

In consideration of my/our request to transfer/transmit the above-said securities/units to the name of the undersigned legal heir(s)/claimant(s) named above (#), I/We hereby jointly and severally agree and undertake to indemnify and keep indemnified, saved, defended and held harmless the said Listed Company/Issuer/AMC and its RTA/Depository Participant/Depository, and their respective successors and assigns, at all times hereafter, against all losses, costs, claims, actions, demands, risks, charges, expenses, damages, and liabilities whatsoever, which they may suffer and/or incur by reason of transferring/transmitting the said securities/units as aforesaid, at my/our request, to the undersigned legal heir(s)/claimant(s), without insisting on production of a Succession Certificate / Probate of Will / Letter of Administration or any Court Order (of competent jurisdiction).

This indemnity shall be binding on me/us, our respective heirs, executors, administrators, and legal representatives, and shall remain in full force and effect irrespective of whether the asset transmitted is a security or a mutual fund unit, or both.

I/We declare that, to the best of my/our knowledge and belief, there is no pending dispute, litigation or competing claim amongst the legal heir(s) and claimant(s) in relation to the aforesaid securities/units or the succession thereof.

PART D – EXECUTION

IN WITNESS WHEREOF the said witnesses named below have hereunto set their respective hands and seals on the date given. Signed and delivered by the said legal heir(s).

Witness 1 — Mr./Ms. (name)

Signature

Witness 2 — Mr./Ms. (name)

Signature

Day

Month and year

No.	Name of the Legal Heir(s) / Claimant(s)	Signature of the Legal Heir(s) / Claimant(s)
1		
2		
3		

Note: Where any person required to sign this document is unable to sign, he/she may affix his/her left thumb impression in lieu of signature.

PART E – ATTESTATION BY NOTARY

Signed before me — At

On (date)

Signature of Notary

Official Stamp, Seal and Registration No. of Notary